



Primary Disclosure Statement

Name and Registration of Authorised Financial Adviser:

Rebecca Puterangi – FSP 112644

Trading Name: The Investor Bird

Email: rebecca@investorbird.co.nz

Mobile: 021918648

Address: 159 Eversham Road, Mt Maunganui

This Disclosure Statement was prepared on 1st January 2020

It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

In addition to the information that I must disclose to you in this statement, I must also disclose other information to you in a separate disclosure statement (or statements), including information about the types of services that I provide, the fees that I charge, and any actual or potential conflicts of interest. If I have not provided that information to you at the same time as I give you this statement, I must provide it to you as soon as I can.

What sort of adviser am I?

I am an Authorised Financial Adviser. This means that I have been authorised by the Financial Markets Authority to provide the financial adviser services described below.

How can I help You?

I have been authorised to provide you with financial adviser services of the following categories:

- Financial advice
- Investment planning services
- Financial Planning advice
- Lending advice
- Risk Insurance advice

When I do this, I will be able to give you advice about:

- financial products provided by only 1 organisation:
- financial products provided by a small number of organisations (2 to 5 organisations):
- financial products provided by a broad range of organisations (more than 5 organisations).

How do I get paid for the services that I provide to you?

Payment type	Description
<input type="radio"/> Fees only	My services are only paid for by the fees that you pay. I do not receive payments from other people or organisations that might influence my advice.
<input type="radio"/> Fees	My services are paid for by the fees that you pay as well as in other ways.
<input type="radio"/> Commissions	There are situations in which I will be paid by other organisations. How much that payment will be depends on the decisions that you make.
<input type="radio"/> Extra payments from my employer/principal	I may receive extra payments from my employer/principal depending upon the decisions that you make.
<input type="radio"/> Non-financial benefits from other organisations	Other organisations may give me non-financial benefits depending on the decisions that you make.

What are my obligations?

As an Authorised Financial Adviser, I must comply with the Code of Professional Conduct for Authorised Financial Advisers. I also have other obligations under the [Financial Advisers Act 2008](#) (including regulations made under that Act) and under the general law.

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me so I can start the process of my internal complaints process and I can try and fix the problem.

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints process, you can contact Financial Dispute Resolution Service at:

Email: enquiries@fdrs.org.nz

Phone: 0508 337 337

Postal Address: Level 4, 142 Lambton Quay, Wellington Central, Wellington 6011

If you need to know more, where can you get more information?

If you have a question about anything in this disclosure statement or you would like to know more about anything, please ask me. For further information about financial advisers generally, contact the Financial Markets Authority

How am I regulated by the Government?

You can check that I am an Authorized financial adviser at <http://www.fspr.govt.nz>

The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under “What should you do if something goes wrong?”).

Declaration

I, Rebecca Puterangi, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.



Signed

Date:

This Disclosure Statement (version 5), was prepared on 1st January 2020